Please type a plus sign (+) inside this box -> +

10-01-01

+

PTO/SB/50 (02-0

Approved for use through 01/31/2004. MR 0561-0038

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Panenwork Reduction Act of 1995, no persons are required to respond to a collection of internation urtest is lightly as valid ONIGH control number.

# REISSUE PATENT APPLICATION TRANSMITTAL

|   |   |                   | 0              |  |  |  |
|---|---|-------------------|----------------|--|--|--|
|   | Attorney Docket No.   | 13771-118         | 56             |  |  |  |
| Address to:   | First Named Inventor  | Karim Esmailzadeh | 150            |  |  |  |
| Assistant Commissioner for Patents Box Patent Application   | Original Patent Number  | 6,170,514         | <del>-</del> - |  |  |  |
| Washington, DC 20231  | Original Patent Issue Date<br>(Month/Day/Year)  | January 9, 2001   |                |  |  |  |
|   | Express Mail Label No.  | EL792917197US     |                |  |  |  |
| APPLICATION FOR REISSUE OF:  (check applicable box)   | Patent Design F   | Patent Pla        | nt Patent      |  |  |  |
| APPLICATION ELEMENTS (37 CFR 1.173)   | ACCOMPANYING APPLICATION PARTS  |                   |                |  |  |  |
| Fee Transmittal Form (e.g., PTO/SB/56)  (Submit an original, and a duplicate for fee processing)                    | <ol> <li>Statement of status/support for all changes to the claims.</li> <li>See 37 CFR 1.173(c).</li> </ol>          |                   |                |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27.  | 11. Original U.S. Patent for surrender  |                   |                |  |  |  |
| <ol> <li>Specification and Claims in a double column copy of patent<br/>format (amended, if appropriate)</li> </ol> | Ribboned Original Patent Grant Statement of Loss (PTO/SB/55)  |                   |                |  |  |  |
| Drawing(s) (proposed amendments, if appropriate)  |   |                   |                |  |  |  |
| 5. Reissue Oath / Declaration (original or copy) (37 C.F.R. § 1.175)(PTO/SB/51 or 52)                               | 12. Foreign Priority Claim (35 U.S.C. 119) (if applicable)  |                   |                |  |  |  |
| 6. Power of Attorney  | <ol> <li>Information Disclosu<br/>Statement (IDS)/PTO</li> </ol>  |                   |                |  |  |  |
| 7. Original U.S. Patent currently assigned? Yes No  |   |                   |                |  |  |  |
| (If Yes, check applicable box(es))  | <ol> <li>English Translation of Reissue Oath/Declaration         (If applicable)</li> </ol>                           |                   |                |  |  |  |
| Written Consent of all Assignees (PTO/SB/53)  | 15. Preliminary Amendment   |                   |                |  |  |  |
| 37 C.F.R § 3.73(b) Statement  | 16. Return Receipt Postcard (MPEP 503)  |                   |                |  |  |  |
| (PTO/SB/96)   | (Should be specifically itemized)   |                   |                |  |  |  |
| B. CD-ROM or CD-R in duplicate, Computer Program  | 17. Other: Transmittal Cover Letter with Certificate of   |                   |                |  |  |  |
| (Appendix) or large table   | Express Mailing; Reissue Ap<br>Statement of Non-Assignment  |                   |                |  |  |  |
| Nucleotide and/or Amino Sequence Submission     (if applicable, all of the following are necessary)                 | Statement of Non-Assignment; Statement Under 37 CFR<br>3.73(b); Request for Transfer of Drawings from Original Patent |                   |                |  |  |  |
| a. Computer Readable Form (CFR)   | to Reissue Application  |                   |                |  |  |  |
| b. Specification Sequence Listing on.   |   |                   |                |  |  |  |
| i CD-ROM (2 copies) or CD-R (2 copies); or  |   |                   |                |  |  |  |
| ii ☐ paper  |   |                   |                |  |  |  |
| C Statements verifying identity of above copies   |   |                   |                |  |  |  |
|   |   |                   |                |  |  |  |
|   |   |                   |                |  |  |  |
|   |   |                   |                |  |  |  |
| 14. CORRESPONDENCE ADDRESS  |   |                   |                |  |  |  |
|   |   |                   |                |  |  |  |
| 25862  Z Custamer Number or Bar Code Label or Correspondence address below  |   |                   |                |  |  |  |
| (Insert Customer No. or Attach bar code label here)   |   |                   |                |  |  |  |
|   |   |                   |                |  |  |  |
| Name  |   |                   |                |  |  |  |
| Address   |   |                   |                |  |  |  |
| City State  | Zip C   | ode               |                |  |  |  |
| Country Telephone   |   | Fax               |                |  |  |  |
| NAME (Print/Type) Gerald E. Helget  | Registration No. (Attorney/Agen   | t) 30,948         | $\overline{}$  |  |  |  |
| Signature 205 H   | Date  | 09/28/2001        |                |  |  |  |

Burden Hoar Statement: This form is estimated to take 0.2 hours to complete, filmly will very depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Cyfer (Mormation Officer, U.S. Patent and Trademark Office, Washington, CO 2023). DON'T SEMO TEES OR COMPLETED FORMS. TO THIS ADDRESS. SEMD TO 1-SEASON COMMENTAL COMPLETED FORMS. OF COMPLETED FORMS. OR TO 2023 IN COMPLETED FORMS. TO THIS ADDRESS. SEMD TO 1-SEASON COMPLETED FORMS. SEMD TO 1-SEASON COMPLETED FORMS. OR 2023 IN COMPLETED FORMS. TO THIS ADDRESS. SEMD TO 1-SEASON COMPLETED FORMS. SEMD TO 1-SEASON COMPLETED FORMS. TO 1-SEASON COMPLETED FORMS. SEMD TO 1-SEASON COMPLETED FORMS. SEMD TO 1-SEASON COMPLETED FORMS.

Approved for use through 01/31/2004, OMB 0651-0823 U.S. Patient and Trademark Office, U.S. DEPARTMENT OF COMMERC Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless til displays a valed Office order in uniform.

| REISSUE APPLICATION FEE TRANSMITTAL FORM Docket Number (Optional) 13771-118   |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
|---|---|-----------------|---------------------|------------------------|------|----------------------------|-----------------|------------|----------------|---------------------------|-----|--|
| Claims as Filed - Part 1  |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| Claims in   |   | Number Filed    |                     |                        | (3)  |                            | Sma             | il Entity  |                | Other than a Small Entity |     |  |
| Patent  | For   |                 | Reissue             | Reissue Application    |      | nber Extra                 | Rate            | Fee        |                | Rate                      | Fee |  |
| (A) 20<br>(C) 3   | Total Claims<br>(37 CFR 1.16(j))<br>Independent |                 | (B) 21<br>(D) 3     |                        | • 0  | *1 =                       | X\$ <u>9</u> =  | 9          | or             | xs                        |     |  |
| ` '   | Claims (3                                       | 37 CFR 1.16(i)) |                     |                        |      | =                          | X\$ <u>40</u> = | 0          |                | X\$                       |     |  |
| Basic Fee (37 CFR 1.16(h)) \$355 \$   |   |                 |                     |                        | s    |                            |                 |            |                |                           |     |  |
|   |   |                 |                     |                        | Tota | al Filing Fe               | е               | \$364      |                | OR                        | \$  |  |
|   |   |                 |                     | Claims as              | Amer | nded - Part                | 2               |            |                |                           |     |  |
|   | (1) (2  |                 | (2)<br>Highest Nurr |                        | Sma  | II Entity                  |                 | Other than | a Small Entity |                           |     |  |
|   |   | After Amendment |                     | Previously<br>Paid For | v    | Extra<br>Claims<br>Present | Rate            | Fee        |                | Rate                      | Fee |  |
| Total Clair<br>(37 CFR 1.16   |   | ***             | MINUS               |                        |      | •<br>=                     | X\$             | _          | or             | x\$                       |     |  |
| Independent<br>Claims (37 C   | FR 1 16(i))                                     | ***             | MINUS               | *****                  |      | =                          | X\$             |            |                | X\$                       |     |  |
| Total Additional Fee \$ OR \$   |   |                 |                     |                        |      | \$                         |                 |            |                |                           |     |  |
| If the entry in (D) is less than the entry in (C), Write "0" in column 3.  If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.  "After any cancelation of claims (B"A"); if "A" is 20 or less, use (B - 20).  "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).  |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| ☑ Applicant claims small entity status. See 27 CFR 1.27.  |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| Please charge Deposit Account No in the amount of A duplicate copy of this sheet is enclosed.   |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| ☑ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>50-1188</u> . A duplicate copy of this sheet is endosed.  A duplicate copy of this sheet is endosed.  The commission of the commis |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| $\boxtimes$ A check in the amount of \$ $364$ to cover the filing / additional fee is enclosed.   |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| Payment by credit card. Form PTO-2038 is attached.  |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on form PTO-2038.   |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| 09/28/2001  |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| Date Signature of Applicant, Attorney or Agent of Record  |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| A   |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| Gerald E. Helget  Typed or printed name   |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |
| - About the browner country   |   |                 |                     |                        |      |                            |                 |            |                |                           |     |  |

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the inchividual case. Any comments on the amount of time you are requard to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commensioner for Patents, Washington, DC 20031.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re. Appln.: Karim Esmailzadeh

Serial No.: Not yet assigned

Filed: September 28, 2001

For: CITY WATER FLUSHING AND SLUDGE PREVENTION

CONTROL APPARATUS

Attorney: Gerald E. Helget

Attorney

Docket No.: 13771-118

Additional Fees: Charge to Deposit Account 50-1188

BOX REISSUE

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

# TRANSMITTAL COVER LETTER

Enclosed for filing, please find the following:

- 1. Reissue Patent Application Transmittal (1 pg.);
- Reissue Application Fee Transmittal Form (1 pg.);
- 3. Reissue Application Declaration by the Inventor (2 pgs.) and copy of reissue application (8 pgs.);
- Status of Claims and Support for Claim Changes (37 C.F.R. § 1.173(c)) (2 pgs.);
- Statement Under 37 CFR 3.73 (b) (1 pg.);
- Reissue Application: Consent of Assignee; Statement of Non-Assignment (1 pg.);

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, and the documents identified above, are being deposited with the United States Postal Service as Express Mail, Receipt No. EL792917135US in an envelope addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.



- 7. Request for Transfer of Drawings From Original Patent to Reissue Application (1 pg.);
- 8. Check in the amount of \$364.00; and
- Postcard receipt.

Respectfully submitted,

Dated: 28 Sp. 01

Gerald E. Helget (Reg. No. 30,948) Nelson R. Capes (Reg. No. 33,106) RIDER BENNETT EGAN & ARUNDEL 333 South Seventh Street, Suite 2000

Minneapolis, MN 55402 Telephone: (612) 340-8933 Approved for use through 10.9 1206.085 (0.8.0)
U.S. Patlent and Taxdemark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information insees 8 (stellays a valid OMB control insees).

| STATEMENT UNDER 37 CFR 3.73(b)  |   |  |  |  |  |
|---|---|--|--|--|--|
| Applicant/Patent Owner: Karim Esmailzadeh   |   |  |  |  |  |
| Application No./Patent No.: 6,170,514 Filed   | Issue Date: January 9, 2001   |  |  |  |  |
| Entitled: CITY WATER FLUSHING AND SLUDGE PREVENTION   | CONTROL APPARATUS   |  |  |  |  |
| Karim Esmailzadeh , a individual  |   |  |  |  |  |
| (Name of Assignee) (Type of Assignee  | a, e.g., corporation, partnership, university, government agency, etc.) |  |  |  |  |
| states that it is:  |   |  |  |  |  |
| <ol> <li>the assignee of the entire right, title, and interest; or</li> </ol>   |   |  |  |  |  |
| an assignee of an undivided part interest   | an assignee of an undivided part interest                               |  |  |  |  |
| in the patent application/patent identified above by virtue of either:  |   |  |  |  |  |
| A.   An assignment from the inventor(s) of the patent application recorded in the Patent and Trademark Office at Reel attached.   |   |  |  |  |  |
| OR  |   |  |  |  |  |
| B.  A chain of title from the inventor(s), of the patent application shown below:   | n/patent identified above, to the current assignee as                   |  |  |  |  |
| To: The document was recorded in the United States Pate Reel, Frame, or for which a copy thereo   | ent and Trademark Office at   |  |  |  |  |
| Tro: To: The document was recorded in the United States Pate Reel, Frame, or for which a copy thereof   | ent and Trademark Office at   |  |  |  |  |
| 3. From: To:  |   |  |  |  |  |
| The document was recorded in the United States Patent and Trademark Office at  Reel , Frame , or for which a copy thereof is attached.  |   |  |  |  |  |
| , realite, or for which a copy thereor is attached.   |   |  |  |  |  |
| Additional documents in the chain of title are listed on  | a supplemental sheet.   |  |  |  |  |
| ☐ Copies of assignments or other documents in the chain of title [NOTE: A separate copy (i.e., the original assignment docume must be submitted to Assignment Division in accordance with recorded in the records of the USPTO. See MPEP 302.8] | nt or a true copy of the original document)                             |  |  |  |  |
| The undersigned (whose title is supplied below) is empowered to   | sign this statement on behalf of the assignee.                          |  |  |  |  |
| 8158/11   | 7   |  |  |  |  |
| Date  | Signature /   |  |  |  |  |
| -   | Karim Esmailzadeh   |  |  |  |  |
|   | Typed or printed name   |  |  |  |  |
| -   | Title   |  |  |  |  |
|   | · me  |  |  |  |  |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this from should be sent to the Chief Indorration Officer. U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Pleatents, Washington, DC 20231.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re. Appln.: Karim Esmailzadeh

Reissue

No.: Not yet assigned

Appln. No.:

September 28, 2001

Filed:

CITY WATER FLUSHING AND SLUDGE PREVENTION

CONTROL APPARATUS

Attorney:

Gerald E. Helget

Attorney

13771-118

Docket No.:

Charge to Deposit Account 50-1188

Additional Fees:

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

# REQUEST FOR TRANSFER OF DRAWINGS FROM ORIGINAL PATENT TO REISSUE APPLICATION

Please transfer the drawings from original patent, Patent No. 6,170,514, filed on January 19, 1999, for the invention entitled CITY WATER FLUSHING AND SLUDGE PREVENTION CONTROL APPARATUS to the reissue application, the specification of which is attached hereto.

Respectfully submitted.

Dated: 28 Skpr 0

Gerald E. Helget (Reg. No. 30,948) Nelson R. Capes (Reg. No. 37,106) RIDER BENNETT EGAN & ARUNDEL 333 South Seventh Street, Suite 2000

Minneapolis, MN 55402 Telephone: (612) 340-8933

#### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, and the documents identified above, are being deposited with the United States Postal Service as Express Mail, Receipt No. EL792917197US in an envelope addressed to BOX REISSUE, Assistant Commissioner for Patents, Washington, D.Q. 20247. pu be date in gendented below.

Date 25 Score of the date mane

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re. Appln.:

Karim Esmailzadeh

Reissue

Appln. No.:

Not yet assigned

Filed:

September 28, 2001

For:

CITY WATER FLUSHING AND SLUDGE PREVENTION

CONTROL APPARATUS

Attorney:

Gerald E. Helget

Attorney

Docket No.:

13771-118

Additional Fees:

Charge to Deposit Account 50-1188

BOX REISSUE

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

# STATUS OF CLAIMS AND SUPPORT FOR CLAIM CHANGES (37 C.F.R. § 1.173(C))

The status of the claims as a result of the amendment submitted herewith is:

Claims cancelled: None

Claims amended:

Claim No. 1

Claims added:

Claim No. 21

### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, and the documents identified above, are being deposited with the United States Postal Service as Express Mail, Receipt No. EL792917197US in an envelope addressed to BOX REISSUE, Assistant Commissioner for Patents, Washington, D.Q. 20237-on\_the date indicated below.

By Date 28 SGO

The support in the disclosure of the patent for the changes made to the claims and for the claims added is as follows:

Col. 3, lines 9-10.

Respectfully submitted,

Dated: 28 SQ > 01

Nelson R. Capes (Reg. No. 20,948)
Nelson R. Capes (Reg. No. 32/106)
RIDER BENNETT EGAN & ARUNDEL
333 South Seventh Street, Suite 2000
Minneapolis, MN 55402
Telephone: (612) 340-8933